

DOCKET NO.: NC17636
CLIENT NO.: NOKI02-17536



COPY OF PAPERS
ORIGINALLY FILED

#5
4-23-02
ae

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : CLIVE TANG

Serial No. : 09/901,988

Filed : July 10, 2001

For : APPARATUS, AND ASSOCIATED METHOD, FOR SELECTING
A SWITCHING THRESHOLD FOR A TRANSMITTER
UTILIZING ADAPTIVE MODULATION TECHNIQUES

Group No. : 2182

Examiner : (Not yet assigned)

RECEIVED

FEB 14 2002

Technology Center 2100

Commissioner of Patents
Washington, D. C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

Foreign Patents

<u>Patent No.</u>	<u>Country</u>	<u>Date</u>
WO 99/12302	PCT	11.03.1999
WO 00/76109	PCT	14.12.2000
EP 0 845 916	EPO	03.06.1998
0 260 470	EPO	23.03.1988

DOCKET NO. NC17536
U.S. SERIAL NO. 09/901,988
PATENT

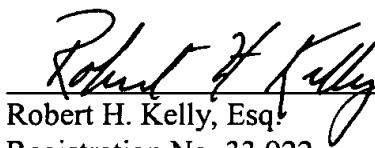
Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to the Novakov Davis Deposit Account No. 50-0208.

Respectfully submitted,

NOVAKOV DAVIS & MUNCK, P.C.

Date: 4 Dec 01



Robert H. Kelly, Esq.
Registration No. 33,922

Docket Clerk
P.O. Box 802432
Dallas, Texas 75380
Telephone: (214) 922-9221
Facsimile: (214) 969-7557
Email: rkelly@novakov.com



Sheet 1 of 1

FORM PTO-1449 (REV. 7.80)		DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NC17536 (NOKI02-17536)	SERIAL NO. 09/901,988
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Clive Tang			
		FILING DATE July 10, 2001	GROUP 2182		

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)

RECEIVED

FEB 14 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
AA	WO 99/12302	11.03.1999	PCT					
AB	WO 00/76109	14.12.2000	PCT					
AC	EP 0 845 916	03.06.1998	EPO					
AD	0 260 470	23.03.1988	EPO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line if not in conformance and not considered. Include copy of this form with next communication to applicant.



2182

DOCKET NO. NC17536 (TIKI02-17536)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application of : Clive Tang

FEB 14 2002

U.S. Serial No. : 09/901,988

Technology Center 2100

Filed : July 10, 2001

For : APPARATUS, AND ASSOCIATED METHOD, FOR SELECTING
A SWITCHING THRESHOLD FOR A TRANSMITTER
UTILIZING ADAPTIVE MODULATION TECHNIQUES

Group No. : 2182

Examiner : (Not Yet Assigned)

Commissioner for Patents
Washington, D.C. 20231CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

1. Information Disclosure Statement;
2. Form PTO-1449;
3. Four (4) references as cited in Information Disclosure Statement; and
4. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service,
addressed to Commissioner for Patents, Washington, D.C. 20231, on 12/6/01.Date: 12/6/01Kathy Longenecker
MailerDate: 4 Dec 01Robert H. Kelly
Robert H. Kelly
Reg. No. 33,922

P.O. Box 802432
Dallas, Texas 75380
Phone: (214) 922-9221
Fax: (214) 969-7557
E-mail: rkelly@novakov.com